IAP12 Rec'd PCT/PTO 28 APR 2006

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78376

Chiaki SOTOWA, et al

Appln. No.: National Stage of PCT/JP2004/016482

Confirmation No.: Unknown Group Art Unit: Unknown

Filed: April 28, 2006 Examiner: Unknown

For: CARBON MATERIAL FOR BATTERY ELECTRODE AND PRODUCTION METHOD

AND USE THEREOF

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of an English-language International Search Report from the International Searching Authority in the International Application citing such documents, including at least that portion of the International Search Report indicating the degree of relevance found by the International Searching Authority and a copy of an English-language Written Opinion of the International Searching Authority citing and discussing the documents.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: English language abstracts, submitted herewith, constitute concise statements of relevance for Japanese Patent Application Publication Nos. 11-54123, 2003-173778, 2002-87807, 11-343108, 2004-79344, 2004-210634, 11-154513, 2004-220926, and 11-310405. Furthermore JP 11-310405 A is cited and discussed in the present specification.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

10/577849 IAP12 Rec'd PCT/PTO 28 APR 2006

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Attorney Docket No. Q78376

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

Sheldon Landsman

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WASHINGTON OFFICE 23373 **CUSTOMER NUMBER**

Date: April 28, 2006

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Complete if Known Substitute for Form 1449 A & B/PTO National Stage of PCT/JP2004/016482 Application Number **INFORMATION DISCLOSURE** Confirmation Number Unknown **STATEMENT BY APPLICANT** Filing Date April 28, 2006 First Named Inventor Chiaki SOTOWA (use as many sheets as necessary) **Art Unit** Unknown **Examiner Name** 1 of 1 Attorney Docket Number Sheet Q78376

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number		Dallia dia Data		
		Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document .	
		US 5,721,433	A	02/24/1998	TOKIHIRO KOSAKA	
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FOREIGN PATENT DOCUMENTS								
Cite No. ¹	Foreign Patent Document			Publication Date	Name of Patentee or			
	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶		
	JР	11-54123	Α	02/26/1999	MATSUSHITA ELECTRIC IND. CO. LTD.	Abstract		
	JР	2003-173778	Α	06/20/2003	KAWASAKI STEEL CORP.	Abstract		
	JР	2002-87807	Α	03/27/2002	HITACHI MAXELL LTD.	Abstract		
	JР	11-343108	Α	12/14/1999	OSAKA GAS CO. LTD. and SHARP CORP.	Abstract		
	JP	2004-79344	Α	03/11/2004	TOKAI CARBON CO. LTD.	Abstract		
	JP	2004-210634	A	07/29/2004	JFE CHEMICAL CORP.	Abstract		
	JР	11-154513	Α	06/08/1999	MATSUSHITA ELECTRIC IND. CO. LTD.	Abstract		
	JP	2004-220926	Α	08/05/2004	MATSUSHITA ELECTRIC IND. CO. LTD.	Abstract		
	EP	0 520 667	A1	12/30/1992	SHARP KABUSHIKI KAISHA			
	JP	11-310405	Α	11/09/1999	OSAKA GAS CO. LTD. and SHARP CORP.	Abstract		
		Country Code ³ JP JP JP JP JP JP JP J	Foreign Patent Document Country Code³ Number⁴ JP 11-54123 JP 2003-173778 JP 2002-87807 JP 11-343108 JP 2004-79344 JP 2004-210634 JP 11-154513 JP 2004-220926 EP 0 520 667	Foreign Patent Document Country Code³ Number⁴ Kind Code⁵ (if known) JP 11-54123 A JP 2003-173778 A JP 2002-87807 A JP 11-343108 A JP 2004-79344 A JP 2004-210634 A JP 11-154513 A JP 2004-220926 A EP 0 520 667 A1	Cite No.1 Foreign Patent Document Publication Date MM-DD-YYYY Country Code³ Number⁴ Kind Code⁵ (if known) MM-DD-YYYY JP 11-54123 A 02/26/1999 JP 2003-173778 A 06/20/2003 JP 2002-87807 A 03/27/2002 JP 11-343108 A 12/14/1999 JP 2004-79344 A 03/11/2004 JP 2004-210634 A 07/29/2004 JP 11-154513 A 06/08/1999 JP 2004-220926 A 08/05/2004 EP 0 520 667 A1 12/30/1992	Cite No.1 Foreign Patent Document Publication Date MM-DD-YYYY Name of Patentee or Applicant of Cited Document JP 11-54123 A 02/26/1999 MATSUSHITA ELECTRIC IND. CO. LTD. JP 2003-173778 A 06/20/2003 KAWASAKI STEEL CORP. JP 2002-87807 A 03/27/2002 HITACHI MAXELL LTD. JP 11-343108 A 12/14/1999 OSAKA GAS CO. LTD. and SHARP CORP. JP 2004-79344 A 03/11/2004 TOKAI CARBON CO. LTD. JP 2004-210634 A 07/29/2004 JFE CHEMICAL CORP. JP 11-154513 A 06/08/1999 MATSUSHITA ELECTRIC IND. CO. LTD. JP 2004-220926 A 08/05/2004 MATSUSHITA ELECTRIC IND. CO. LTD. EP 0 520 667 A1 12/30/1992 SHARP KABUSHIKI KAISHA IP 11-310405 A 11/09/1999 OSAKA GAS CO. LTD. and SHARP		

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶		

Examiner Signature	Date Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.